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01-21-03
1140668-0005**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Joachim Schmitt
Serial No. : 10/019,898
Filed : December 28, 2001
For : COMMUNICATIONS SYSTEM AND COMMUNICATIONS
METHOD FOR AN AUTOMATION UNIT WITH
COMMUNICATIONS DATA STORED IN SAID
AUTOMATION UNIT
Examiner : To be assigned
Group Art Unit : To be assigned

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JAN 14 2003

Technology Center 2100

I hereby certify that this paper is being deposited
with the United States Postal Service as
first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

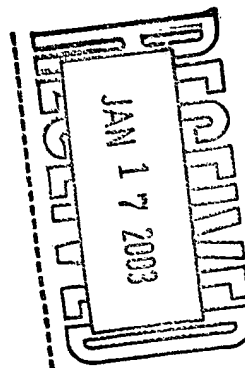
Scott T. Weingaertner 37,756

Attorney Name PTO Reg. No.

January 8, 2003

Signature Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231



**INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING OF A FIRST OFFICE ACTION
ON THE MERITS (37 C.F.R. §1.97(b)(3))**

Sir:

Applicant submits this communication and the attached Form PTO-1449 in compliance
with 37 C.F.R. §§1.56, 1.97 and 1.98. A copy of each of the documents listed on the Form PTO-
1449 is enclosed as part of this communication.

The documents identified on the attached form PTO-1449 include documents which are cited in the specification of the referenced application and/or in the International Search Report (“ISR”) of International Application No. PCT/DE00/02025 of which the referenced application is the U.S. national stage application. A copy of the International Search Report is included with this communication.

As part of this Information Disclosure Statement, Applicant provides an English language Abstract of the Japanese language patent documents Publication Numbers 10161707 and 11024716.

Applicant provides English language Abstracts, as well as the German language references, for the following documents: EP 0 727 723; DE 296 00 609; and DE 298 23 119. Applicant provides an English language Abstract for the DE 196 15 190 reference.

Applicant provides herewith copies of German language EP 0 9176 466 and EP 0 917 034 and English language U.S. Patent No. 6,311,101 which are members of the same patent family.

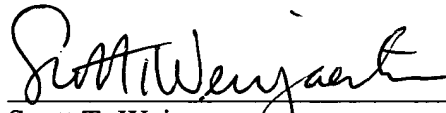
As for the articles by B. Schranze, et al. and T. Klesper, et al., these documents were cited in an examination report of the German Patent Office in connection with the now granted, first-filed counterpart of the above-referenced application. A copy of the granted German application (DE 199 29 933 C2) is enclosed. The Schranze and Klesper articles provide background relating to remote data access to field devices such as sensors and actuators via the Internet and also to remote diagnosis via the internet. The references do not, however, relate to service and support over a network, which subject matter is recited in all of the pending claims.

TIME OF TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits according to 37 C.F.R. §1.97(b)(3). Therefore, no fee is due in connection with this filing. However, should any fee be deemed necessary in connection with this filing, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 23-1703.

Dated: January 8, 2003

Respectfully submitted,



Scott T. Weingaertner
Reg. No. 37, 756
Attorney for Applicant
Attorney's Direct Line: (212) 819-8404
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(212) 819-8200

Enclosures

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(REV. 2-82) PATENT AND TRADEMARK OFFICE

Atty. Docket No.
1140668-0005

Serial No.
10/019,898

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

Applicant:
Joachim Schmitt

Filing Date:
December 28, 2001

Group:
TBA

UNITED STATES PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Name	Class	Sub-Class	Filing Date, if Appropriate
	5	8	0	5	4	4	2	09/08/98	Crater, et al.			RECEIVED
	6	0	3	5	3	2	8	03/07/00	Soukal			JAN 14 2003
	6	2	6	3	4	8	7	07/17/01	Stripf, et al.			Technology Center 2100

FOREIGN PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Country	Class	Sub-Class	Translation Yes No	
	0	8	2	2	4	7	3	04/02/98	EP				
	0	8	2	5	5	0	6	25/02/98	EP				
	0	9	1	6	4	6	6	19/05/99	EP				
	0	9	1	7	0	3	4	19/05/99	EP				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	A	B. Schranz, et al., "Internet-die Meßtechnik-Wunderwaffe?" IN: Elektronik 9/1998, Seite 88-92.
	B	T. Klesper: "Der Internet-Zugriff aufs LON" IN: Elektronik 8/1998, Seite 60,62,64,66-68.
	C	Marina Arseniev (Marsenie@UCI.EDU) et. al.: "Comparison: JAR vs. non-JAR" Internet Citation, XP002142006; 10/1997.

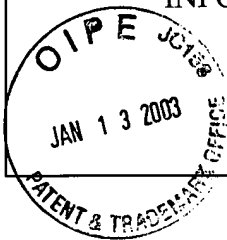
Examiner:

Date Considered:

*Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (REV. 2-82)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Atty. Docket No. 1140668-0005	Serial No. 10/019,898
		Applicant: Joachim Schmitt	
		Filing Date: December 28, 2001	Group: TBA

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	6	3	1	1	1	0	1	10/31/01	Kastner			RECEIVED
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	0	7	2	7	7	2	3	21/08/96	EP				
	0	8	1	2	0	8	6	10/12/97	EP				
	29	6	0	0	6	0	9	27/03/97	DE				
	19	6	1	5	1	9	0	23/10/97	DE				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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	19 9 2 9 9 3 3	27/06/02	DE			
	29 8 2 3 1 1 9	08/04/99	DE			
	9 9 1 3 3 8 8	18/03/99	WO			
	0 1 0 2 8 0 3	11/01/01	WO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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	11	0	2	4	7	1	6		29/01/99	JP				

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